Final published version available at the International Journal of Pharmaceutics: https://doi.org/10.1016/j.ijpharm.2023.123141

# <sup>1</sup> Characterization of neonatal and infant

# <sup>2</sup> enterostomy fluids- Part II: Drug solubility

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13 Keywords: Drug solubility, infants, human intestinal fluids, intestinal fluid characterization



#### **Intestinal Fluid Characterization**

Acid/Base Acid

Base

Base

Neutral

Neutral

### 14 Abstract

15 Previous research revealed marked differences in the composition of intestinal fluids between infants and adults. To explore the impact on the solubilization of orally administered drugs, the present study 16 17 assessed the solubility of five poorly water-soluble, lipophilic drugs in intestinal fluid pools from 19 infant enterostomy patients (infant HIF). For some but not all drugs, the average solubilizing capacity 18 19 of infant HIF was similar to that of HIF obtained from adults (adult HIF) in fed conditions. Commonly used fed state simulated intestinal fluids (FeSSIF(-V2)) predicted fairly well drug solubility in the 20 21 aqueous fraction of infant HIF, but did not account for the substantial solubilization by the lipid phase 22 of infant HIF. Despite similarities in the average solubilities of some drugs in infant HIF and adult HIF 23 or SIF, the underlying solubilization mechanisms likely differ, considering important compositional differences (e.g., low bile salt levels). Finally, the huge variability in composition of infant HIF pools 24 25 resulted in a highly variable solubilizing capacity, potentially causing variations in drug bioavailability. 26 The current study warrants future research focusing on (i) understanding the mechanisms underlying 27 drug solubilization in infant HIF and (ii) evaluating the sensitivity of oral drug products to interpatient 28 variations in drug solubilization.

# 29 1. Introduction

The lack of oral drug products designed for and tested in the neonatal and pediatric patient populations often forces clinicians to use off-label and unlicensed drugs to treat these patients. Besides ethical concerns, the limited availability of validated preclinical tools to predict intestinal absorption seriously hampers drug development tailored to these populations.

A key factor in drug absorption is the solubility of the drug in the intestinal lumen. Together with the 34 35 drug's physicochemical properties, the composition of human intestinal fluids (HIF) has been 36 recognized as a main determinant of intestinal drug solubility. For this reason, the intestinal fluid 37 composition has been extensively investigated in healthy adults, resulting in a better understanding of 38 its impact on absorption-related processes such as drug dissolution, solubilization and permeation<sup>1-4</sup>. 39 It also led to the development of simulated intestinal fluids (SIF), greatly improving the biorelevant assessment of oral drug products with in vitro solubility and dissolution data<sup>5-8</sup> and their input for 40 physiologically based biopharmaceutics modelling<sup>9–12</sup>. 41

Despite significant progress in the predictive *in vitro* and *in silico* simulation of drug absorption in preclinical drug development, physiological changes related to disease, medication or age are currently poorly implemented due to the lack of reference data<sup>13</sup>. To advance the development of oral drugs tailored to the paediatric population<sup>14,15</sup>, for instance, recent studies have explored different absorption-related aspects of the gastrointestinal physiology in children, including fluid volume<sup>16,17</sup>, fluid composition<sup>18,19</sup>, and drug transporter and metabolic enzyme ontogeny<sup>20,21</sup>. In addition, Maharaj et al.<sup>22</sup> designed neonatal and pediatric SIF to explore drug solubility in children. However, the
composition of these fluids was based on scarcely available data.

50 In this respect, we recently collected intestinal enterostomy fluids from neonatal and infant patients (further referred to as infant HIF) and characterized these fluids with respect to factors that may affect 51 intestinal drug absorption (i.e., pH, buffer capacity, osmolality, total protein, bile salts, phospholipids 52 and lipids)<sup>23</sup>. For some factors, average values in infant HIF substantially differed from previously 53 54 reported values in adult HIF<sup>24,25</sup>. Most noticeably, the bile salt concentration was much lower in infant 55 HIF, while the lipid and protein concentrations were relatively high. Since bile salts and lipids are key 56 elements of the colloidal structures in HIF that help to solubilize lipophilic compounds<sup>1,26</sup>, the 57 solubilizing capacity for such drugs will likely differ between infant and adult HIF. In addition, the fluid 58 composition appeared highly variable between and within infant patients. This likely translates into 59 variations in solubilizing capacity, as has previously been observed in adults HIF<sup>2,27</sup>.

To evaluate the impact of the observed composition and variability in infant HIF on drug solubility, the 60 61 present study assessed the solubility of five poorly water soluble, lipophilic drugs (i.e., ibuprofen, 62 clopidogrel, domperidone, spironolactone and tacrolimus) in intestinal fluid pools from 19 63 neonatal/infant enterostomy patients. The composition of the individual infant HIF pools was determined to explore possible drivers for drug solubility. For comparison purposes, solubility was also 64 determined in adult HIF and in commercially available SIF. The selected drugs, of which the 65 66 physicochemical properties are described in Table 1, are all used in the neonatal and/or paediatric 67 patient population. Because of their lipophilic nature and low intrinsic solubility in water, they are likely 68 sensitive to solubilization by colloidal structures and lipid droplets in intestinal fluids.

# 69 2. Material and methods

#### 70 2.1. Chemicals

71 Clopidogrel bisulfate, spironolactone, hydrogen chloride (HCl), methanol (MeOH) and acetonitrile 72 (ACN) HPLC grade were purchased from ThermoFisher Scientific (Waltham, MA, US). ACN and formic 73 acid (FA) LC/MS grade were acquired from Biosolve (Valkenswaard, The Netherlands). Sodium and 74 potassium hydrogen phosphate (NaH<sub>2</sub>PO<sub>4</sub> and KH<sub>2</sub>PO<sub>4</sub>) and ibuprofen were purchased from Sigma-75 Aldrich (St. Louis, MO, USA). Acetic acid was bought from Chem-Lab analytical (Zedelgem, Belgium). 76 Domperidone was acquired from VWR International (Radnor, PA, USA). Tacrolimus was procured from 77 Lucerna Chem AG (Lucerne, Switzerland). Internal standard for tacrolimus (13C-D<sup>4</sup>) was purchased 78 from Alsachim (Illkirch Graffenstaden, France). All substances used for solubility experiments had a 79 purity above 95 %.

#### 80 2.2. Intestinal fluid pools

81 Neonatal and infant intestinal fluids were collected from temporary enterostomy patients during their 82 recovery in the Neonatal Intensive Care Unit at the University Hospitals Leuven, Belgium. The study 83 was approved by the Ethics Committee Research UZ/KU Leuven, Belgium (S56879). In all patients, 84 multiple enterostomy fluid samples were collected during a period of 1 to 16 weeks. The collection and characterization of these distinct samples have been thoroughly discussed in a previous study <sup>23</sup>. 85 86 To ensure sufficient fluid volume for solubility experiments, a single pool of the collected intestinal 87 fluids was made per patient (infant HIF), except for patient L. For Patient L the samples were pooled separately for samples collected during the first 2.5 months postnatal age (PNA) (L Early) and from 3 88 89 to 6 months PNA (L Late). For 19 patient pools, sufficient volume was available to determine the 90 solubility of five model compounds. Relevant information on these patients, including sex, gestational 91 age, age range during collection, stoma location, pathology, medication and enteral feeding, are 92 described in Table 2.

93 For comparison purposes, drug solubility was also determined in adult intestinal fluid pools (adult HIF). 94 These pools were made from intestinal fluids aspirated following a similar protocol as Riethorst et al<sup>25</sup>. 95 In that study, approved by the Ethics Committee Research UZ/KU Leuven (S53791), duodenal fluids 96 were collected in healthy adults according to a standardized procedure. Volunteers were fasted for 12 97 hours prior to the aspiration study. Fluid samples were collected for 90 min after receiving 240 mL of 98 water (fasted state) or 400 mL of a liquid meal (Ensure plus, Abbott, IL, USA) and 240 ml of water (fed 99 state). To perform the solubility experiments in the present study, samples were pooled per volunteer. 100 In total, three pools of fasted adult HIF (FaHIF) and three pools of fed adult HIF (FeHIF) were used. 101 Finally, the solubility was also determined in commercially available fasted state SIF (FaSSIF-V1) and

102 fed state SIF (FeSSIF-V1 and FeSSIF-V2). The SIF were prepared according to the manufacturer's

103 protocol (Biorelevant, London, UK).

104

#### **105** *Table 1. Physicochemical properties of the investigated drugs.*

Drug	Molecular weight (g/mol)	Intrinsic solubilityª (µg/mL)	Acid/Base	pKaª	Log P <sup>a</sup>	Log D <sub>pH6.0</sub> <sup>a</sup>
Ibuprofen	206.3	59	Acid	4.85	3.97	2.36
Clopidogrel <sup>b</sup>	321.8	12	Base	3.89	3.80	4.03
Domperidone	425.9	6	Base	5.83	3.91	2.68
Spironolactone	416.6	2	-	-	2.78	2.78
Tacrolimus	804.0	4	-	-	3.03	3.03

106 107 <sup>a</sup> Calculated with Chemaxon Chemicalize [22/03/2023], <sup>b</sup> Clopidogrel bisulfate was used.

Patient Pool <sup>a</sup>	Sex	Gestational age (weeks)	PNA range during collection (days)	Stoma type	Pathology	Medication	Feeding type
В	М	34	29 – 120	lleostomy	SIP and obstruction	FS, ursochol, fentanyl, heparin	HM + IF
С	F	31	35 - 133	lleostomy	NEC	FS, fentanyl, paracetamol	HM + IF
D	F	25	30 - 100	lleostomy	SIP	FS, fluconazole, tramadol, vancomycin, hydrocortisone, paracetamol	HM + IF
F	М	24	28 – 70	lleostomy	SIP	FS, primidone, hydrocortisone, azithromycin, vancomycin, paracetamol	НМ
G	F	40	18 – 32	lleostomy	Meconium ileus, Cystic Fibrosis	FS, erythromycin	НМ
Н	М	39	29 – 71	lleostomy	Meconium ileus, Cystic Fibrosis	FS, ursochol, atenolol, omeprazole, ceftazidime	HM + IF
J	М	39	9 - 16	Colostomy	Anal atresia	FS, cefotaxime, vancomycin, acyclovir, tazocin,	НМ
к	М	27	33 – 89	lleostomy	SIP	FS, paracetamol, hydrochlorothiazide, spironolactone, piperacillin, amikacin, vancomycin, furosemide	HM + IF
L Early	м	36	55 – 76	lleostomy	Gastroschisis and obstruction	FS, clonidine, fentanyl, piritramide, paracetamol, piperacillin, amikacin, midazolam	HM + IF
L Late	М	36	83 - 167	lleostomy	Gastroschisis and obstruction	FS, clonidine, fentanyl, piritramide, paracetamol, piperacillin, amikacin, midazolam	HM+IF
М	М	27	107 – 219	lleostomy	NEC	FS, ursochol, loperamide, propranolol, ranitidine, amikacin, vancomycin	HM + IF
N	М	41	24 – 31	lleostomy	Meconium ileus, Cystic Fibrosis	FS	НМ
0	М	33	14 - 98	Colostomy	Imperforatio ani	FS, tazocin, amikacin, vancomycin, cefuroxime, oxybutynin	HM + IF
R	F	26	38 - 80	lleostomy	NEC	FS, paracetamol, hydrocortisone	IF
S	F	34	35 – 56	lleostomy	Gastroschisis and ileum atresia	FS, paracetamol, linezolid, amikacin, vancomycin, tazocin	НМ
Т	М	25	65 – 93	lleostomy	NEC	FS, hydrocortisone, paracetamol	HM
U	М	40	17 - 31	Colostomy	Imperforatio ani	FS, cefadroxil	HM + IF
V	М	27	87 – 115	lleostomy	Hirschsprung	FS	IF
W	М	39	40 - 61	lleostomy	Hirschsprung	FS, ursochol, clonidine,	HM

#### **109** Table 2. Demographics of the neonatal/infant enterostomy patients

110 Abbreviations: female (F), male (M), postnatal age (PNA), ileocecal valve (ICV), necrotizing enterocolitis (NEC), spontaneous

111 intestinal perforation (SIP), food supplements (FS), human milk (HM), infant formula (IF)

<sup>a</sup> The letters assigned to the different patient pools refer to the patient codes in de Waal et al.<sup>23</sup>

113

# **115** 2.3. Characterization of intestinal fluid pools

Prior to characterization, aliquots of all adult and infant HIF pools were treated in the same way as during the solubility experiments (see 2.4) but without the addition of drug powder (see scheme in Figure 1). This implied incubation at 37 °C for 24 hours under continuous shaking at 175 RPM (IKA KS 4000i control, Staufen, Germany), followed by centrifugation (30 min, 20000 g, 37°C).

120 Centrifugation of infant HIF and adult FeHIF resulted in a phase separation between an aqueous layer 121 (possibly with micelles) and a lipid layer. For this reason, two parallel sample preparations were 122 performed to isolate (i) the aqueous fraction, and (ii) the total fluid fraction consisting of both the 123 aqueous layer and the lipid layer. To obtain the aqueous fraction after centrifugation, the top lipid 124 layer was removed using a suction system, upon which the remaining fluid was transferred to a new 125 tube leaving any solid material behind. The aqueous fraction was then thoroughly mixed before a second centrifugation (20 min, 20000 g, 37 °C). After the second centrifugation, the potentially 126 127 remaining top layer was again removed via suction, leaving the aqueous fraction. To obtain the total 128 fluid fraction after the initial centrifugation step, both the lipid and aqueous layer were transferred to 129 a new tube, leaving the pellet behind. After thoroughly mixing the total fraction, it was centrifuged a 130 second time (20 min, 20000 g, 37 °C). Again, both layers (supernatant) were transferred to a new tube 131 and mixed to form the total fluid fraction. In the event the top lipid layer consisted of a liquid layer and 132 a thick solid layer, the solid top layer was removed, to prevent inhomogeneous samples from 133 entrapping drug powder during solubility tests. 134 Both the aqueous and total fractions of each adult and infant HIF pool were characterized with respect

to pH, buffer capacity, osmolality, total protein, bile salts, phospholipids, and lipid digestion products,

136 following the previously described methodology<sup>23</sup>.



Figure 1. Schematic representation of the intestinal fluid sample preparation for characterization and drug solubility assessment.

#### **139** 2.4. Apparent solubility of selected model drugs

The solubility of five poorly water soluble, lipophilic drugs (i.e., ibuprofen, clopidogrel, domperidone, spironolactone and tacrolimus) was determined in the infant and adult HIF pools, and in FaSSIF-V1, FeSSIF-V1 and FeSSIF-V2. Since the weak base clopidogrel is only administered to neonates and infants as a bisulfate salt, clopidogrel bisulfate was used in the solubility experiments. Since molecularly dissolved drug was not distinguished from drug solubilized in colloidal structures or lipid droplets, the measured solubility should be considered as apparent<sup>28</sup>.

146 Solubility experiments were performed in triplicate, in both the aqueous fraction and the total fraction 147 of each individual fluid pool. To 500  $\mu$ L of intestinal fluid, an excess of drug powder (i.e., 5 mg 148 ibuprofen, 4 mg clopidogrel bisulfate, 3 mg domperidone, and 1 mg spironolactone and tacrolimus) 149 was added, upon which the suspension was incubated at 37 °C for 24 h under continuous shaking at 150 175 RPM. The aqueous and total fractions were isolated (starting from separate tubes) as described in 151 section 2.3. Prior to quantification of drug in solution, proteins were precipitated by addition of either ice cold MeOH containing 1% FA (ibuprofen) or ACN containing 1% FA (clopidogrel, domperidone, 152 spironolactone and tacrolimus) in a 1 to 10 ratio. For tacrolimus, 5 µM internal standard (tacrolimus-153 154 13C-D<sup>4</sup>) was added to the ACN mixture. Drug concentrations were determined with liquid chromatography coupled with UV absorbance, fluorescence or MS/MS detection, according to the 155 156 conditions described in Table 3. The analytical methods were validated according to the ICH M10 guidelines on bioanalytical method validation. Measured at four concentration levels for all 157

- 158 compounds spiked in SIF, the accuracy was between 90 110 % and the coefficient of variation was
- 159 below 5 %, indicating adequate precision. In addition, recovery from infant and adult HIF was
- 160 determined to be above 90 % for all compounds.
- 161

Table 3. Analytical conditions for the liquid chromatography-based quantification of drugs in human and simulated
 intestinal fluid.

Drug	Column <sup>a</sup>	MP A	МР В	Elution (%A - %B)	Detection
Ibuprofen	1	MeOH	25mM K₂HPO₄; pH 6.5	70-30	Fluo EX: 224 nm EM: 288 nm
Clopidogrel	1	ACN	25mM NaH₂PO₄; pH 2.2	70-30	UV: 250 nm
Domperidone	1	ACN	50 mM NH₄FA; pH 3	60-40	Fluo EX: 285 nm EM: 325 nm
Spironolactone	1	ACN	H <sub>2</sub> O	50-50	UV: 237 nm
Tacrolimus	2	ACN	NH₄AC 2mM + 0.1% FA	97.5 - 2.5	MS: [M + NH₄]⁺ 821.7 → 768.6

164 <sup>a</sup> Column 1 = Zorbax XBD eclipse c18 (150 \* 4.6 mm, 5 μm), column 2 = Kinetex XB-C18 (50 \* 2.1 mm, 2.6 μm).

Abbreviations: MP = mobile phase, ACN = acetonitrile, MeOH = methanol, FA = formic acid, Fluo = fluorescence detector, EX
 excitation wavelength, EM = emission wavelength, UV = ultraviolet-light spectrometer, MS = mass spectrometry.

#### 169 2.5. Imaging of microscopic aggregates in infant HIF

170 The morphology of microscopic aggregates in infant HIF was studied using transmitted polarized light 171 microscopy. Before imaging, the studied HIF sample was thawed at 37 °C for at least 15 min. The 172 sample was homogenized by manual shaking and a small amount was inserted into a glass capillary 173 with a rectangular cross-section (volume 5  $\mu$ L, length 50 mm, width 1 mm and height 0.1 mm). The capillary was then placed within a custom-made aluminium thermostatic chamber with several cut-174 175 out optical windows to enable observation via a microscope<sup>29–31</sup>. A cryo-thermostat JULABO CF30 was 176 used to control the temperature in the metal chamber. The temperature inside the chamber was 177 measured by placing a calibrated thermocouple probe (with an accuracy of  $\pm 0.2$  °C and calibrated by 178 a precise mercury thermometer in the relevant temperature range) in an adjacent orifice.

179 Imaging was performed by an AxioImager.M2m microscope (Zeiss, Germany). Transmitted cross-180 polarized white light was used, with an included  $\lambda$ -compensator plate, placed after the observed 181 specimen and before the analyzer, oriented at a 45° angle with respect to both the analyzer and the 182 polarizer. At these imaging conditions, both the dispersed fluid objects and the liquid background in 183 the sample have a characteristic magenta color, whereas any crystalline or liquid-crystalline objects 184 appear bright and intensely colored<sup>32</sup>.

#### **185** 2.6. Data presentation and statistics

186 Summarizing data on the characterization of the infant and adult HIF pools are shown as median and 187 range or average ± standard deviation (SD) of the different pools. Apparent solubility values in the 188 aqueous and total fractions of infant HIF are displayed per patient pool as average ± SD of three 189 replicate measurements, unless stated otherwise. Solubility values measured in triplicate in three adult 190 FaHIF and FeHIF pools are depicted as the average ± SD of the three pools combined per prandial state. 191 Solubility values in SIF represent the average  $\pm$  SD of three replicate measurements. The variability in 192 the data sets is described using a robust version of the coefficient of variance (CV) for non-normal 193 distributed data ( $RCV_Q$ ), based on median and interquartile range instead of average and standard 194 deviation. The RCV<sub>Q</sub> is calculated as: 0.75 × (IQR/Median)<sup>33</sup>. Spearman correlation coefficients were calculated with GraphPad Prism 9.0.2 (GraphPad Software, San Diego, CA, USA). 195

196

### 198 3. Results and discussion

To our knowledge, the current study is the first to explore the solubilizing capacity of multiple infant HIF pools for lipophilic drugs with limited intrinsic solubility and compare them to adult HIF. Infant HIF was collected from 19 infant patients with enterostomy, representing a heterogeneous population with variations in (gestational) age, underlying pathology and medication. As such, the solubility data presented in the following sections can be considered relevant for an actual neonatal patient population.

# 205

206

#### 207 3.1. Intestinal fluid pool composition

208 In Table 4, the composition of the 19 infant and 6 adult HIF pools, which were used for solubility testing, 209 is summarized with respect to pH, buffer capacity, osmolality, total protein, bile salts, phospholipids 210 and total lipids (i.e., triacylglycerides, diacylglycerides, monoacylglycerides and free fatty acids). 211 Average values (± SD) are given for infant HIF, adult FaHIF and adult FeHIF. For infant HIF, also median 212 and range are displayed, considering the high variability and skewness of the results. For comparison 213 purposes, the composition of the commercially available simulated fluids FaSSIF-V1, FeSSIF-V1 and 214 FeSSIF-V2 is given as well. The prandial state of the infant HIF pools cannot be clearly defined, as these 215 pools consist of stoma fluids collected three times a day during multiple days in a non-controlled 216 setting. As such, the pools represent a state (i.e., never truly fasted) that is physiologically relevant for 217 infants, who are fed much more frequently than adults. In contrast, the adult HIF pools were collected 218 during a controlled clinical study, with a distinct prandial state. In case of the infant HIF and adult FeHIF 219 pools, values for the lipid concentration in the aqueous and total fraction are reported separately, as 220 a difference was apparent in most pools. For the other variables, only the value in the total fraction is 221 reported since no marked differences were observed between the aqueous and the total fraction.

222

223 The comparison in Table 4 highlights some marked differences between the infant and adult HIF pools. 224 While infant HIF characteristics like pH, buffer capacity, osmolality and total protein largely 225 corresponded to the fed state of adult HIF, the average bile salt concentration (712  $\pm$  1105  $\mu$ M) was 226 far below the concentrations found in fasted or fed adult HIF and the concentrations used in SIF. The average lipid concentration in the total fluid fraction was comparable between infant HIF (6.0  $\pm$  6.7 227 mg/mL) and adult FeHIF (6.5 ± 1.8 mg/mL), although the variability was more pronounced in infant 228 229 HIF. In contrast, the average lipid concentration in the aqueous fraction was much lower in infant HIF 230  $(0.7 \pm 0.5 \text{ mg/mL})$  than in adult FeHIF (4.2 ± 1.0 mg/mL). This discrepancy might be due to solubilization 231 of lipids in bile salt based colloidal structures present in the aqueous fraction of adult HIF, but possibly 232 absent in infant HIF due to the low bile salt concentration. Polarized light microscopy (PLM), able to 233 detect aggregates (droplets, crystals and liquid crystals) larger than 1 µm, showed remaining small lipid 234 droplets in the aqueous fraction of infant HIF, the amounts of which relatively corresponded to the 235 measured lipid concentrations. This is illustrated in Figure 2, in which PLM images of two infant HIF 236 pools with different lipid concentrations are compared. Possibly, the measured lipids in the aqueous 237 fraction of infant HIF resulted from the incomplete removal of very small lipid droplets with high-speed centrifugation, whereas in adult HIF it is likely to assume that most lipids in the aqueous fraction are 238 239 solubilized in the colloidal structures. 240 Besides the clear differences in the average concentrations of bile salts and lipids between the infant 241 and adult HIF pools, the infant HIF composition was marked by huge interpatient variability, as 242 indicated by the RCV<sub>Q</sub> values in Table 4. For all explored characteristics, the variability was larger in 243 infant HIF compared to adult HIF. The high variability, in line with previous reports<sup>19,23</sup>, could partly be 244 attributed to the non-controlled feeding conditions in the infant patients in contrast to the adult

volunteers, who received a standardized meal prior to fluid collection.

248 Table 4. Composition of the infant and adult human intestinal fluid pools and the simulated intestinal fluids used for solubility

experiments. If a layer separation was observed after centrifugation (infant HIF and adult FeHIF), the lipid concentration is

250 reported separately in the aqueous fraction (no lipid layer) and the total fraction (aqueous layer + lipid layer). The bottom part

251 of the table reports the variability for the different characteristics in the infant and adult HIF pools, expressed as  $RCV_q$  (0.75 ×

252 (IQR/Median)).

	Infant Intes (median; ı (averag	stinal Fluids min - max) ge ± SD)	Adult Intestinal Fluids (average ± SD)			Simulated Intestinal Fluids (theoretical value)			
	Fasted + Fed Fasted Fed (n=19 pools) (n=3 pools) (n=3 pools)		FaSSIF - V1	FeSSIF - V1	FeSSIF- V2				
	Aqueous	Total	Aqueous	Aqueous	Total	Aqueous	Aqueous	Aqueous	
	5.67; 4.5	57 - 7.94	7 (7 + 0.24	5 04 + 0.40		65	L.	F 0	
рн	5.72 :	± 0.74	7.07 ± 0.34	5.84 :	± 0.10	0.5	5	5.8	
Buffer capacity	24; 7	′ — 97	54+01	18.0	+08	10	75	25	
(mmol/L/ΔpH)	28 :	± 22	J.4 1 U.1	10.0	± 0.0	10			
Osmolality	454; 22	26 - 577	179 + 27	2/15	+ 31	270	635	390	
(mOsm/kg)	445	± 97	1, 5 ± 21	5+5		270			
Total protein	15; 6	- 31	3 ± 0.4	17+	± 0.2	0	0	0	
(mg/mL)	16	± 7		1/ ± 0.2					
Bile salts	495; 0	- 4850	2633 ± 893	2633 ± 893 8453 ± 547		3000	15000	10000	
(μΜ)	712 ±	1105							
Phospholipids	0.8; 0.3 - 1.8		$0.4 \pm 0.1$	3.5 ± 0.2		0.75	3.75	2	
(mivi)	0.8 :	± 0.4							
Lipids	0.5; 0.2 - 2.0	3.4; 0.2 - 26	0.3 ± 0.03	4.2 ± 1.0	6.5 ± 1.8	0	0	2	
(mg/mL)	0.7 ± 0.5	6.0 ± 6.7				_	-	_	
pH RCV <sub>Q</sub> (%)	7.7		4.1	1.3					
Buffer capacity RCV <sub>Q</sub> (%)	75		20	21					
Osmolality RCV <sub>Q</sub> (%)	22		9.0	5.6					
Total protein RCV <sub>Q</sub> (%)	46		22	8.9					
Bile salts RCV <sub>Q</sub> (%)	10	102 17		5.6					
Phospholipids RCV <sub>Q</sub> (%)	53		29	20					
Lipids RCV <sub>Q</sub> (%)	89	137	26 30 17						

253



Figure 2. Polarized light microscopy of the aqueous and total fraction for infant intestinal fluid pool C and M, under the pictures the corresponding lipid concentration is depicted.

#### **256** 3.2. Apparent solubility of selected lipophilic drugs

The apparent solubility of ibuprofen (Figure 3), domperidone (Figure 5) and spironolactone (Figure 6) were determined in 19 infant HIF pools. The solubility of clopidogrel bisulfate (Figure 4) and tacrolimus (Figure 7) could only be determined in 18 infant HIF pools due to insufficient volume of pool S. In addition, solubility for all drugs was determined in adult FaHIF and FeHIF pools and in the simulated fluids FaSSIF-V1, FeSSIF-V1 and FeSSIF-V2. Drug solubility was determined in the aqueous fraction (removed lipid layer) and in the total fraction (aqueous + lipid layer). In Table 5, solubility data are summarized as mean ± SD and as median and range (infant HIF only) over the different pools.

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#### 265 3.2.1. Average solubility in infant HIF compared to adult HIF

The average solubilities (Table 5) of the weak acid ibuprofen and the neutral compounds 266 267 spironolactone and tacrolimus in infant HIF were comparable (< 2-fold difference) with adult FeHIF in 268 both the aqueous and total fraction. For clopidogrel bisulfate and domperidone, both basic 269 compounds, the average solubility in the total fraction was higher in adult FeHIF compared to infant 270 HIF (3-fold for clopidogrel, 5-fold for domperidone). The reason for these substantial differences is 271 uncertain. Possibly, the basic compounds interact with the negatively charged bile salts that were 272 present in high concentration in adult FeHIF but not in infant HIF. The solubility difference was only 273 apparent in the total but not aqueous fractions of adult FeHIF and infant HIF, suggesting that other 274 mechanisms might be at play, possibly involving both lipids and bile salts.

275 In general, the average solubility in infant HIF exceeded the solubility in adult FaHIF, except for 276 clopidogrel, which showed a surprisingly high solubility in fasted state conditions (2.7 mg/mL in adult 277 FaHIF, 1.3 mg/mL in FaSSIF-V1). Upon dissolution of the water-soluble salt clopidogrel bisulfate, the 278 release of hydrogen sulphate resulted in a pH reduction in FaHIF (average pH-drop to 2.53) and FaSSIF-279 V1 (pH 2.80), thereby favoring the solubility of the weak base clopidogrel (pKa 3.9). It is important to 280 note that this pH drop is unlikely to happen in the bulk intestinal environment, as it would be mitigated 281 by continuous bicarbonate secretion into the intestinal lumen. In infant HIF (average pH 5.1), adult 282 FeHIF (average pH 5.8) and FeSSIF-V1 (average pH 5.5), the pH drop was much less apparent due to 283 the higher buffer capacity.

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#### **285** 3.2.2. Solubility in SIF compared to average solubility in infant HIF

In addition to infant and adult HIF, the solubility of the selected compounds was determined in fasted and fed state SIF, designed to mimic adult intestinal fluids. A recent industry survey found that these adult SIF are also used to simulate pediatric drug solubility and dissolution, due to the lack of reference data on the intestinal fluid composition in children<sup>14</sup>. The present data shows that the ability of adult SIF to predict average solubility in infant HIF is highly drug dependent. Using FeSSIF-V2, for instance, 291 the solubility in the total fraction of infant HIF is well predicted for ibuprofen, but underpredicted for 292 clopidogrel, spironolactone, tacrolimus, and, to a lesser extent, domperidone. Such underprediction 293 of the solubility in total HIF samples, i.e., including the lipid fraction, has been described before when comparing the solubilizing capacities of adult FeHIF and FeSSIF(-V2)<sup>27</sup>. The current SIF do not contain 294 295 fully biorelevant lipid concentrations and therefore lack the distinctive lipid phase present in adult and 296 infant HIF. The solubility in the aqueous fraction of infant HIF was predicted fairly well by using either 297 FeSSIF-V1 or FeSSIF-V2 for all the selected drugs (< 2.3-fold difference). However, it can be expected 298 that the ultrastructure of FeSSIF-V1 and FeSSIF-V2 does not resemble the aqueous fraction of infant 299 HIF, considering the low bile salt levels in infant HIF compared to SIF (Table 4) and the remaining small 300 lipid droplets in the aqueous fraction of infant HIF (Figure 2). Notwithstanding the decent prediction 301 of the average solubilizing capacity of infant HIF's aqueous fraction observed in this study, the 302 underlying mechanisms of solubilization therefore likely differ in FeSSIF(-V2).

303

#### **304** 3.2.3. Intersubject variability in solubility in infant HIF

305 By using 19 pools, the present study provides a unique insight into the inter-patient variability in 306 solubilizing capacity of infant HIF. The large variation in solubility between different infant HIF pools is 307 clearly visualized for the five compounds in Figures 3-7. The relative intersubject variability in apparent 308 solubility in the total fraction, expressed as  $RCV_{0}$  in Table 5, ranged between 60 and 160 % in infants, 309 which is substantially higher than in fed state adults (between 6 and 73 %). In the aqueous fraction, 310 the variability ranged from 46 to 155 % in infants and from 38 to 83% in adult FeHIF. The higher 311 variability in the solubilizing capacity of infant HIF can partly be attributed to the above-mentioned 312 non-standardized but realistic conditions during the fluid collection in infants.

313

314 To explore whether the variability in solubilizing capacity across the different infant HIF pools was 315 similar for all tested drugs, Spearman correlation coefficients were determined, comparing the 316 solubility values for different drugs. In the aqueous fraction, only clopidogrel and domperidone 317 solubility significantly correlated (Spearman r = 0.81, P < 0.001). In the total fraction, however, 318 significant correlations were observed between all drugs, except spironolactone. Relatively weak 319 correlations were seen between domperidone and tacrolimus (r = 0.48), and between domperidone 320 and ibuprofen (r = 0.57); moderate correlations were observed between domperidone and clopidogrel 321 (r = 0.68), clopidogrel and tacrolimus (r = 0.64), ibuprofen and tacrolimus (r = 0.79), and ibuprofen and 322 clopidogrel (r = 0.83). When comparing the individual infant HIF pools for the different drugs (Figures 323 3-7), it becomes apparent that solubilities are consistently high in pool G, but low in pools L Early and L Late. However, the solubilizing capacity of some other pools (e.g., N, V and K) is highly drug 324 325 dependent. The same was observed in previous studies on drug solubility in adult HIF<sup>2,24</sup>. Surprisingly,

- drug solubility was similar in the two fluid pools of infant L (L early and L late), even though the bile
  salt concentration increased significant at older age (i.e., 63 µM L early vs. 4850 µM L late).
- 327 328

#### 329 3.2.4. Physiological factors that affect drug solubilization in infant HIF

330 In an attempt to identify key factors in determining drug solubility in infant HIF, Spearman correlation 331 coefficients were assessed between drug solubility and infant HIF characteristics (i.e., pH, buffer 332 capacity, osmolality, total protein, bile salts, phospholipids and lipids). Surprisingly, no strong 333 correlations were found in either aqueous or total fraction. Only the total protein concentration 334 correlated significantly, yet weakly to moderately, to the solubility of each of the studied drugs in the total fluid fractions (Spearman r ranging from 0.49 to 0.68, P < 0.05). The overall lack of clear 335 336 correlations between solubility and single intestinal fluid characteristics might indicate that the mechanisms of solubilization vary between the different infant HIF pools. For instance, in some pools, 337 338 solubility might be mainly driven by lipids or proteins, while in others pH might be the key factor. The 339 present data set, however, does not allow studying such complex mechanisms with multivariate 340 analysis due to the relatively high number of covariates (intestinal fluid characteristics) in relation to 341 the limited number of observations (solubility in infant HIF pools). In addition, it should be noted that 342 the composition of the intestinal fluid pools has been assessed on the level of molecule classes (e.g., 343 total protein and lipid concentrations) rather than individual molecular species. Differences in 344 molecular species could yield different colloidal structures, resulting in altered solubilization of drugs. 345 Thus, a detailed analysis of the molecular species and colloidal structures present in the infant HIF 346 pools might better correlate with drug solubility, although such an analysis is not straightforward.

347

As mentioned above, drug solubilization effects of the different HIF pools were not always consistent 348 349 across the selected compounds. However, for all drug combinations, relatively strong correlations 350 were found when comparing the solubility ratios between the total fraction (i.e., aqueous + lipid layer) 351 and the aqueous fraction in the different infant HIF pools (Spearman r ranging from 0.64 to 0.85, P <352 0.001). This indicates that the additional solubilization resulting from the lipid layers of the different 353 infant HIF pools is fairly consistent among the selected drugs. A significant Spearman correlation was 354 found between the total/aqueous solubility ratios for the individual drugs with the lipid ratio 355 (Spearman r ranging from 0.53 to 0.76, P < 0.05). This implies that the lipid concentration plays an 356 important role in the solubilization process.

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Figure 3. Apparent solubility of ibuprofen in (i) intestinal fluid pools from 19 infant enterostomy patients and the average of all infant HIF pools (Infant HIF), (ii) fasted and fed state intestinal fluid pools from adults (FaHIF and FeHIF), and (iii) simulated intestinal fluid representing fasted (FaSSIF-V1) and fed (FeSSIF-V1 and FeSSIF-V2) state. Bars represent solubility values in either the aqueous fraction (light bars) or the total fraction (dark bars) of the fluids. Data are presented as mean + SD of (i) triplicate measurements in individual infant HIF pools, (ii) measurements in three different adult HIF pools, or (iii) triplicate measurements in SIF.



Figure 4. Apparent solubility of clopidogrel bisulfate in (i) intestinal fluid pools from 18 infant enterostomy patients and the average of all infant HIF pools (Infant HIF), (ii) fasted and fed state intestinal fluid pools from adults (FaHIF and FeHIF), and (iii) simulated intestinal fluid representing fasted (FaSSIF-V1) and fed (FeSSIF-V1 and FeSSIF-V2) state. Bars represent solubility values in either the aqueous fraction (light bars) or the total fraction (dark bars) of the fluids. Data are presented as mean + SD of (i) triplicate measurements in individual infant HIF pools, (ii) measurements in three different adult HIF pools, or (iii) triplicate measurements in SIF.



Figure 5. Apparent solubility of domperidone in (i) intestinal fluid pools from 19 infant enterostomy patients and the average of all infant HIF pools (Infant HIF), (ii) fasted and fed state intestinal fluid pools from adults (FaHIF and FeHIF), and (iii) simulated intestinal fluid representing fasted (FaSSIF-V1) and fed (FeSSIF-V1 and FeSSIF-V2) state. Bars represent solubility values in either the aqueous fraction (light bars) or the total fraction (dark bars) of the fluids. Data are presented as mean + SD of (i) triplicate measurements in individual infant HIF pools, (ii) measurements in three different adult HIF pools, or (iii) triplicate measurements in SIF.



Figure 6. Apparent solubility of spironolactone in (i) intestinal fluid pools from 19 infant enterostomy patients and the average of all infant HIF pools (Infant HIF), (ii) fasted and fed state intestinal fluid pools from adults (FaHIF and FeHIF), and (iii) simulated intestinal fluid representing fasted (FaSSIF-V1) and fed (FeSSIF-V1 and FeSSIF-V2) state. Bars represent solubility values in either the aqueous fraction (light bars) or the total fraction (dark bars) of the fluids. Data are presented as mean + SD of (i) triplicate measurements in individual infant HIF pools, (ii) measurements in three different adult HIF pools, or (iii) triplicate measurements in SIF.



Figure 7. Apparent solubility of tacrolimus in (i) intestinal fluid pools from 18 infant enterostomy patients and the average of all infant HIF pools (Infant HIF), (ii) fasted and fed state intestinal fluid pools from adults (FaHIF and FeHIF), and (iii) simulated intestinal fluid representing fasted (FaSSIF-V1) and fed (FeSSIF-V1 and FeSSIF-V2) state. Bars represent solubility values in either the aqueous fraction (light bars) or the total fraction (dark bars) of the fluids. Data are presented as mean + SD of (i) triplicate measurements in individual infant HIF pools, (ii) measurements in three different adult HIF pools, or (iii) triplicate measurements in SIF.

368 Table 5. Apparent solubility of the investigated drugs in infant and adult human intestinal fluid pools and simulated intestinal

369 *fluid. If a layer separation was observed after centrifugation (infant HIF and adult FeHIF) the apparent solubility is reported* 

370 separately in the aqueous fraction (no lipid layer) and total fraction (aqueous layer + lipid layer). The bottom part of the table 371 reports the variability for the different apparent solubilities in the infant and adult HIF pools, expressed as  $RCV_q$  (0.75 × 372 (IQR/Median)).

	Infant Inte (median; (avera	stinal Fluids min - max) ge ± SD)	Ac	lult Intestinal Fl (average ± SD)	uids )	Simulated Intestinal Fluids (average ± SD)			
	Fasted + Fed (n=19 pools)		Fasted (n=3 pools)	Fed (n=3 pools)		FaSSIF - V1	FeSSIF - V1	FeSSIF- V2	
Drug	Aqueous	Total	Aqueous	Aqueous	Total	Aqueous	Aqueous	Aqueous	
thurse for (up (est)	978; 251 - 1919	1707; 488 - 7482	1265 + 416	977 ± 293	2307 ± 437	1779 ± 29	1189 ± 132	2307 ± 262	
	1026 ± 461	2462 ± 1645	1303 1 410						
Clopidogrel	167; 39 - 1434	1636; 38 - 3647	2732 + 757	A1A ± 212	4570 + 3481	1216 ± 10	617 + 20	544 ± 2	
Bisulfate (μg/mL)	361 ± 441	1580 ± 1192	2752 1 757	414 ± 212	4370 1 3401	1510 1 10	017 1 55		
Domperidone	133; 14 - 1400	437; 36 - 1654	75 + 12	87 ± 40	2454 ± 387	29 ± 2	544 ± 45	289 ± 20	
(μg/mL)	232 ± 322	474 ± 430	/5 ± 12						
Spironolactone	56; 4 - 326	151; 13 - 882	31 ± 2	65 ± 22	112 ± 8	35 ± 1	40 ± 6	70 ± 11	
(μg/mL)	81 ± 77	215 ± 240							
Tacrolimus (μg/mL)	10; 3 - 67	70; 6 - 318	2.4 ± 0.8	26 ± 18	65 ± 14	3.1 ± 0.1	21 ± 1	30 ± 1	
	17 ± 17	102 ± 100							
lbuprofen RCVq (%)	46	70	30	38	26				
Clopidogrel Bisulfate RCVq (%)	155	92	33	56	73				
Domperidone RCVq (%)	104	60	21	22	6.1				
Spironolactone RCVq (%)	108	90	11	42	6.2				
Tacrolimus RCV <sub>0</sub> (%)	104	160	27	83	14				

373

# 374 4. Concluding remarks

375 Based on the apparent solubility assessment of five lipophilic drug compounds in 19 infant HIF pools from enterostomy patients and 6 adult HIF pools, the present study indicates that the average 376 377 solubilizing capacity of infant HIF may or may not resemble that of fed state adult HIF, depending on 378 the compound. The commonly used simulated fluids FeSSIF-V1 and FeSSIF-V2, mimicking adult fed 379 state HIF, appeared fairly informative for solubility estimation in the aqueous fraction of infant HIF. 380 Yet, the underlying solubilization mechanisms likely differ, considering the differences in composition 381 (in particular, the low bile salt levels in infant HIF). Similar to adult HIF, the lipid phase of infant HIF 382 may contribute substantially to drug solubilization, an effect which cannot be simulated by current SIF. Since the 19 infant HIF pools were collected from a heterogenous population of enterostomy patients with a realistic but non-standardized diet, the obtained data provide a unique insight into the variability in drug solubilization to be expected in clinical practice. Overall, it is evident that the huge variability in the composition of infant HIF translates into a highly variable solubilizing capacity for lipophilic drugs. The lack of strong monotonic correlations between the solubilizing capacity and single compositional factors of infant HIF highlights the complexity of the solubilizing mechanisms.

Altogether, the observed variability in fluid composition and solubilizing capacity is likely to impact drug bioavailability in the infant patient population, with the risk of erratic therapeutic responses and/or safety issues. Thus, the sensitivity of a drug compound or formulation to the variable GI environment must be considered during the development of safe and effective oral drug products tailored to infants.

394 Predicting the impact of altered drug solubilization on drug absorption and bioavailability in infants is 395 challenging and should fit in a physiology based simulation strategy, which considers several age-396 related factors, including dose adjustments, reduced gastrointestinal fluid volumes, an increasing 397 intestinal surface area, and the ontogeny of intestinal transporters and metabolizing enzymes that may 398 affect drug permeation. Considering the extensive solubilization of drug molecules in colloidal 399 structures and/or lipid droplets present in infant HIF, it is important to note that their contribution to 400 absorption is still poorly understood. Indeed, only free drug in the aqueous phase is readily available for permeation<sup>35–37</sup>. Entrapment of drugs in colloidal structures or lipid droplets could hinder 401 402 permeation, but at the same time function as a reservoir, continuously exchanging with the free drug 403 fraction. As such, the vastly different composition and thus ultrastructure of infant HIF versus adult HIF 404 (e.g., low bile salts and lipids) could have a profound effect on the interplay between solubilization and 405 permeation. In this respect, also the possible role of drug binding to proteins, which are fairly abundant 406 in infant HIF and appear to correlate with drug solubility, requires further research.

407 Ideally, robust dosage forms are developed that give a reproducible drug bioavailability, irrespective 408 of the variable GI environment. To guide the development of such formulations, effort has been put into designing simulated intestinal fluids for neonates, infants and children. Based on the scarcely 409 available data, Maharaj et al.<sup>22</sup> designed neonatal and pediatric gastric and intestinal SIF, and 410 411 performed solubility experiments. These infant SIF already incorporated some of the observed 412 differences with adult SIF, including lower bile salt levels (although levels were even lower in most of 413 the infant HIF pools in the present study). The drug solubilizing capacity of these infant SIF appeared lower compared to adult SIF<sup>22,38</sup>, which is, based on the current data, not necessarily true for infant 414 415 versus adult HIF. Other factors, such as relatively high concentrations of lipids and proteins, should be 416 taken into account to accurately predict oral drug solubility in infants. Considering the obvious

- 417 interindividual variability of infant HIF, a wider range of SIF might be useful to determine how sensitive
- 418 a drug compound or formulation is to these variations.
- 419 Overall, it is clear that further research is needed, not only to explore the solubilization mechanisms in
- 420 infant HIF, but also to integrate them into a physiology based simulation strategy that guides the
- 421 development of robust, effective and safe oral drug products designed for infant patients.
- 422
- Author Contributions: Conceptualization, PA, JB, TDW, CS and MR; methodology, PA, JB, TDW, ZV, LV
  and MR; validation, TDW, JB, and PA; formal analysis, TDW and LV; resources, PA and JB; data curation,
  all authors; writing original draft preparation, TDW, LV and JB; writing—review and editing, all authors;
  supervision, PA, JB, and MR; project administration, TDW and MR; funding acquisition, PA, and JB; All
  authors have read and agreed to the published version of the manuscript.
- 429 Data Availability Statement: Data will be made available on request.
- 430

431 Acknowledgments: This work was supported by a grant provided by the Flanders Research Foundation

432 (FWO Vlaanderen research grant G0A8119N). ZV gratefully acknowledges the support of the Bulgarian

433 Ministry of Education and Science, under the National Research Program "VIHREN-2021", project 3D-

434 GUT (No. KP-06-DV-3/15.12.2021).

- The authors would like to express their gratitude to Linda Lukeba for her help with the solubility
  experiment and Matthias Van der Veken for the development of the analytical method for tacrolimus.
- 437
- 438 **Conflicts of Interest:** The authors declare no conflict of interest.
- 439

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