# **Forces between Surfaces**

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The Surface Forces Determine the Stability and Properties of Colloidal Dispersions (Examples)





Stability of foams (gas-in-liquid dispersions)

Flocculation in emulsions (oil-in-water dispersions)



Scenarios for the behavior of colliding emulsion droplets in connection with the droplet-droplet interaction, which is influenced by the properties of the surfactant adsorption monolayers.



Particle coagulation in suspensions (solid-in-liquid dispersions) Strongly dependent on the electrolyte concentration and counterion valence



# Emulsion drop covered by particles

The particles provide steric stabilization of the drops in Pickering emulsions



Interactions between the aggregates (micelles) in surfactant solutions, and of the micelles with interfaces during the process of washing.

In summary: The surface forces determine the stability and properties of various colloidal dispersions: foams, emulsions, suspensions, micellar and protein solutions, biological systems, etc.

# **Surface Force & Disjoining Pressure**



Surface force = Force of interaction between two bodies (two phases) when the distance *h* between their surfaces is relatively small.

Typically, *h* < 100 nm.

**Disjoining pressure**,  $\Pi(h) =$ Surface force per unit area of a plane-parallel film [1-3].

Capillary (Laplace) pressure:  $P_c = P_{in} - P_l = 2\sigma/R$  ( $\sigma$  – surface tension)

Force balance per unit area of the film surface:  $P_{i} + \Pi = P_{in}$ 

Hence:  $\Pi = P_{in} - P_{l} = P_{c}$  (disjoining pressure = capillary pressure) [4].

# **DLVO Theory: Equilibrium states of a free liquid film**



### **Surface Forces and Contact Angles of Liquid films**





$$\sigma^f = -\int_h^\infty \Pi(h) \,\mathrm{d}h \qquad \Rightarrow \qquad \mathbf{c}$$

 $\sigma$  –

thermodynamics: 
$$\frac{\partial \sigma^f}{\partial h} = -\Pi$$

$$\cos \alpha = 1 + \frac{1}{\sigma} \int_{h}^{\infty} \Pi(h) \,\mathrm{d}h \qquad [10]$$

### **Derjaguin's Approximation (1934):**



The energy of interaction, *U*, between two bodies across a film of uneven thickness, h(x,y), is [11]:

$$U = \iint f(h(x, y)) \, dx \, dy$$

where *f*(*h*) is the interaction free energy per unit area of a plane-parallel film:

$$f(h) = \int_{h}^{\infty} \Pi(\tilde{h}) \,\mathrm{d}\tilde{h}$$

This approximation is valid if the range of action

of the surface force is much smaller than the surface curvature radius.



For two spheres of radii  $R_1$  and  $R_2$ , this yields:

$$U(h_0) = \frac{2\pi R_1 R_2}{R_1 + R_2} \int_{h_0}^{\infty} f(h) dh$$

#### Derjaguin's approximation for other geometries [1-3,12]:







# **Molecular Theory of Surface Forces**

# **DLVO Forces**:

(1) Van der Waals force

(2) Electrostatic (double layer) force

# Non-DLVO Forces:

(1) Hydration repulsion

(2) Steric interaction due to adsorbed polymer chains

(3) Oscillatory structural force and Depletion attraction

 $u_{ij}(r) = -\frac{\alpha_{ij}}{\epsilon}$ Van der Waals forces between molecules [13]:

**Dipole – dipole interaction:** The electric field of each dipole induces preferential antiparallel orientation of the other dipole. Sum of three contributions:



(2) <u>Induction interaction</u> between one permanent dipole and one induced dipole (Debye, 1920) [15]

(electrostatic effect)

(3) Dispersion interaction between two induced dipoles (London, 1930) [13]

(quantum electrodynamic effect)

#### Van der Waals surface forces:







Hamaker's approach [16]

The interaction energy is pair-wise additive: Summation over all couples of molecules. Result [16,17]:

$$A_{\rm H} = A_{12} - A_{23} - A_{31} + A_{33}$$

$$A_{ij} = \pi^2 \rho_i \rho_j \alpha_{ij}; \qquad A_{ij} \approx (A_{ii} A_{jj})^{1/2}$$

Symmetric film: phase 2 = phase 1

$$A_{\rm H} = A_{11} - 2A_{13} + A_{33} \approx \left(A_{11}^{1/2} - A_{33}^{1/2}\right)^2 > 0$$

For symmetric films: always attraction!



#### Van der Waals repulsion:





$$A_{\rm H} = A_{12} - A_{23} - A_{31} + A_{33}$$

$$A_{ij} = \pi^2 \rho_i \rho_j \alpha_{ij} \qquad A_{ij} \approx (A_{ii} A_{jj})^{1/2}$$

**Consider the case:**  $A_{11} > A_{33} > A_{22}$ 

For simplicity, let is set  $\rho_2 = 0$  (gas); then:

 $A_{\rm H} = -A_{31} + A_{33} = -A_{33}^{1/2} (A_{11}^{1/2} - A_{33}^{1/2}) < 0$ 

 $\frac{|A_{\rm H}|}{5\pi h^3} = \rho g H$ 

Negative  $A_{\rm H} \Rightarrow$  repulsive van der Waals surface force

<u>Classical example</u>: Liquid Helium climbs up the walls of the container [1].

Explanation: the repulsive van der Waals disjoining pressure across the adsorbed film balances the gravitational hydrostatic pressure:

#### Van der Waals interaction in the presence of adsorption layers



- <u>Example</u>: Foam film stabilized by surfactant
- $h_{\rm c}$  thickness of the <u>water core</u>;
- *h*<sub>t</sub> thickness of layer of <u>surfactant tails</u>.

The integration over all couples of molecules belonging to the three layers yields [18]:

Free energy per unit area:

$$f_{\rm vw} = -\frac{1}{12\pi} \left[ \frac{A_{\rm cc} - 2A_{\rm ct} + A_{\rm tt}}{h_{\rm c}^2} + \frac{2(A_{\rm ct} - A_{\rm tt})}{(h_{\rm c} + h_{\rm t})^2} + \frac{A_{\rm tt}}{(h_{\rm c} + 2h_{\rm t})^2} \right]$$
  
Disjoining pressure: 
$$\Pi_{\rm vw} = -\frac{\partial f_{\rm vw}}{\partial h} = -\left(\frac{\partial f_{\rm vw}}{\partial h_{\rm c}}\right)_{h_{\rm t}} \qquad h = h_{\rm c} + 2h_{\rm t}$$

#### Van der Waals interaction in a multilayered system



In the special case  $N_L = N_R = 1$ , we obtain the expression for symmetric film from phase "0" sandwiched between two phases "1":  $A(1 \ 1)$ 

$$A(1,1) = A_{1,1} - 2A_{1,0} + A_{0,0} \qquad \qquad f_{vw} = -\frac{n(n,r)}{12\pi h_{11}^2}$$

#### Lifshitz approach to the calculation of Hamaker constant



E. M. Lifshitz (1915 – 1985) [19] took into account the collective effects in condensed phases (solids, liquids). (The total energy is not pair-wise additive over al pairs of molecules.)

Lifshitz used the quantum field theory to derive <u>accurate</u> expressions in terms of:

(i) <u>Dielectric constants</u> of the phases:  $\varepsilon_1$ ,  $\varepsilon_2$  and  $\varepsilon_3$ ;

(ii) <u>Refractive indexes</u> of the phases:  $n_1$ ,  $n_2$  and  $n_3$ :

+

$$A_{\rm H} \equiv A_{132} \approx \frac{3}{4} kT \left(\frac{\varepsilon_1 - \varepsilon_3}{\varepsilon_1 + \varepsilon_3}\right) \left(\frac{\varepsilon_2 - \varepsilon_3}{\varepsilon_2 + \varepsilon_3}\right)$$

Zero-frequency term:  $A_{132}^{(\nu=0)}$ orientation & induction interactions;

*kT* – thermal energy.

$$\frac{3h_{\rm P}v_{\rm e}(n_1^2 - n_3^2)(n_2^2 - n_3^2)}{16\sqrt{2}(n_1^2 + n_3^2)^{3/4}(n_2^2 + n_3^2)^{3/4}}$$
  
Dispersion interaction term:  $A_{132}^{(\nu>0)}$   
 $v_{\rm e} = 3.0 \times 10^{15}$  Hz – main electronic  
absorption frequency;

 $h_{\rm P}$  = 6.6 x 10<sup>-34</sup> J.s – Planck's const.

#### Hamaker constant for symmetric films



$$A_{\rm H} \equiv A_{131} = A_{131}^{(\nu=0)} + A_{131}^{(\nu>0)}$$
$$= \frac{3}{4} kT \left(\frac{\varepsilon_1 - \varepsilon_3}{\varepsilon_1 + \varepsilon_3}\right)^2 + \frac{3h_{\rm P}\nu_{\rm e} \left(n_1^2 - n_3^2\right)^2}{16\sqrt{2} \left(n_1^2 + n_3^2\right)^{3/2}}$$
$$\frac{-\varepsilon_3}{16\sqrt{2}} = A_{131}^{(\nu=0)} < \frac{3}{2} kT \approx 3 \times 10^{-21}.$$

$$\left(\frac{\varepsilon_1 - \varepsilon_3}{\varepsilon_1 + \varepsilon_3}\right)^2 < 1 \quad \Rightarrow \quad A_{131}^{(\nu=0)} < \frac{3}{4}kT \approx 3 \times 10^{-21} \text{J}$$

(i) For two bodies interacting across vacuum (or gas):  $A_{\rm H} = 10^{-19} - 10^{-20} \,\rm J$ (ii) For a free-standing liquid or solid film (e.g. foam film):

Hence, for such configurations the dispersion interaction is predominant:

$$A_{131}^{(\nu=0)} << A_{131}^{(\nu>0)} \approx A_{\rm H}$$

The zero-frequency term becomes comparable to the dispersion term when  $n_1$  is close to  $n_3$  (e.g. emulsion systems):

$$A_{131}^{(\nu=0)} \approx A_{131}^{(\nu>0)}$$

#### Determination of the Hamaker constant from the surface tension



$$f_{\rm vw}(h_0) = \int_{h_0}^{\infty} \Pi_{\rm vw}(\tilde{h}) \,\mathrm{d}\tilde{h} = \frac{A_{\rm H}}{12\pi h_0^2} = 2\gamma$$

If  $h_0$  is the center-to-center distance between two molecules, then  $f_{vw}(h_0)$ is the work of adhesion, which for liquids equals  $2\gamma$  (where  $\gamma$  is the surface tension):

$$\gamma = \frac{A_{\rm H}}{24\pi h_0^2}$$

With  $h_0 = 0.165$  nm – good comparison

theory-experiment for nonpolar liquids [1]:

Material	Theoretical A <sub>H</sub> (10 <sup>-20</sup> J)	$\gamma = A_{\rm H} / (24\pi h_0^2) \ ({\rm mN/m})$	γ experimental (mN/m)
n-Octane	4.5	21.9	21.6
Cyclohexane	5.2	25.3	25.5
Water	3.7	18.0	73.0 (20 °C)

#### **Electromagnetic Retardation Effect on Dispersion Force [20]**

Suppression of Orientation & Induction Interactions by Electrolyte [22]

$$A_{\rm H}(h) = 2\kappa h A_0^{(\nu=0)} e^{-2\kappa h} + A^{(\nu>0)}$$
 for  $\kappa h > 2;$ 

 $e^{-}$ 

$$\kappa = \frac{2e^2I}{\varepsilon\varepsilon_0 kT}$$

*I* is the ionic strength of solution

#### **Electrostatic (Double Layer) Surface Force**



 $\Pi_{el}$  = excess osmotic pressure of the ions in the midplane of a symmetric film (Langmuir, 1938) [23]:

$$\Pi_{\rm el} = kT \big( n_{\rm 1m} + n_{\rm 2m} - 2n_0 \big)$$

 $n_{1m}$ ,  $n_{2m}$  – concentrations of (1) counterions and (2) coions in the <u>midplane</u>.

 $n_0$  – concentration of the ions in the <u>bulk</u> solution;  $\psi_m$  potential in the midplane.

For solution of a symmetric electrolyte:  $Z_1 = -Z_2 = Z$ ; Z is the valence of the coions. Boltzmann equation;  $\Phi_m$  – dimensionless potential in the midplane ( $\Phi_m$  << 1).

$$n_{1m} = n_0 \exp(\Phi_m); \qquad n_{2m} = n_0 \exp(-\Phi_m); \qquad \Phi_m = \frac{Ze\psi_m}{kT}$$
$$\Pi_{el} = 2n_0 kT \left[\cosh(\Phi_m) - 1\right] \approx n_0 kT \Phi_m^2 > 0 \qquad \cosh(\Phi_m) = 1 + \frac{\Phi_m^2}{2} + O(\Phi_m^4)$$



### More general definition of $\Pi_{el}$

In the midplane *z* = 0, the potential has a minimum and we have:

$$\left(\frac{d\psi}{dz}\right)_{z=0}^2 = 0$$

and in addition :

 $n_1|_{z=0} = n_{1m}$  $n_2|_{z=0} = n_{2m}$ 

In each plane *z* = const., not necessarily the midplane, we have [2]:

$$\Pi_{\rm el} = kT[n_1(z) + n_2(z) - 2n_0] - \frac{\varepsilon_0 \varepsilon}{2} \left(\frac{d\psi}{dz}\right)^2$$

$$= kT(n_{1m} + n_{2m} - 2n_0) = \text{const.}$$



$$\Pi_{\rm el}(h) \approx n_0 k T \Phi_{\rm m}^2 \approx 64 n_0 k T \gamma^2 \exp(-\kappa h)$$

#### Verwey – Overbeek Formula (1948)

Near **single** interface, the electric potential of the double layer is [6]:

Superposition approximation in the midplane:  $\psi_m = 2\psi_1$  [6]:

In the midplane 
$$\frac{Ze\psi_1}{4kT} << 1$$

 $\tanh(x) = x + O(x^3)$ 

$$\gamma \equiv \tanh\!\left(\frac{Ze\psi_{\rm s}}{4kT}\right)$$



 $tanh(x) \approx 1$  for  $x \ge 1.5$  $\frac{4kT}{m} \approx 100 \,\mathrm{mV}$ е  $\Rightarrow \gamma \equiv \tanh\left(\frac{Ze\psi_{\rm s}}{4kT}\right) \approx 1$ for  $Z\psi_s \ge 150 \,\mathrm{mV}$ 

$$\Pi_{\rm el}(h) \approx 64 n_0 kT \exp(-\kappa h)$$

$$\kappa^{-1} = \frac{0.304}{\sqrt{[\text{NaCl]}}} \text{ nm}$$
$$\kappa^{-1} = \frac{0.176}{\sqrt{[\text{CaCl}_2]}} \text{ nm}$$
$$\kappa^{-1} = \frac{0.152}{\sqrt{[\text{MgSO}_4]}} \text{ nm}$$

 $Z_i$  and  $c_i$  - valence and concentration of the *i*-th ion

<i>I</i> for 1:1 el. (M)	10 <sup>-7</sup> pure water	10 <sup>-5</sup>	10-3	10-1
<i>κ</i> <sup>-1</sup> (nm)	960	96	9.6	0.96

# $\Pi_{el}(h)$ in the case of asymmetric electrolytes 2:1 or 1:2

- **2:1** electrolyte = divalent counterion and monovalent coion;
- **1:2** electrolyte = monovalent counterion and divalent coion.

$$\Pi_{\rm el}(h) = 432n_{(2)}kT\left(\tanh\frac{v_{i:j}}{4}\right)^2\exp(-\kappa h)$$

derived in refs. [24,12]

 $n_{(2)}$  is concentration of the divalent ions;

the subscript "*i*:*j*" takes value "2:1" or "1:2"

$$v_{2:1} = \ln\left[3/\left(1 + 2\exp\left(-\frac{e\psi_s}{kT}\right)\right)\right], \qquad v_{1:2} = \ln\left[\left(2\exp\left(\frac{e\psi_s}{kT}\right) + 1\right)/3\right]$$

# $\Pi_{el}(h)$ in the case of nonidentically charged planes [12]



Asymptotic expression for not-too-small *h* [6]: (Z:Z – electrolyte)

$$\Pi_{\rm el}(h) = 64n_0 kT \gamma_1 \gamma_2 e^{-\kappa h}, \qquad \gamma_k \equiv \tanh(\frac{Ze\psi_{sk}}{4kT}), \ k = 1,2$$

### Derjaguin – Landau – Verwey – Overbeek (DLVO) Theory [5,6]

Disjoining pressure: 
$$\Pi = \Pi_{el} + \Pi_{vw} = Be^{-\kappa h} - \frac{A_{H}}{6\pi h^{3}}$$

Free energy per unit area of a plane-parallel film:

$$f(h) = \int_{h}^{\infty} \Pi(\tilde{h}) d\tilde{h} = \frac{B}{\kappa} e^{-\kappa h} - \frac{A_{\rm H}}{12\pi h^2}$$

Energy of interaction between two identical spherical particles (Derjaguin approximation):

$$U(h) \approx \pi R \int_{h}^{\infty} f(\tilde{h}) d\tilde{h} = \pi R \left( \frac{B}{\kappa^2} e^{-\kappa h} - \frac{A_{\rm H}}{12\pi h} \right)$$



$$B = 64n_0kT\gamma^2, \quad \gamma \equiv \tanh\left(\frac{Ze\psi_s}{4kT}\right)$$

(e - electronic charge; e = 2.71828...)

# **DLVO Theory: The electrostatic barrier**





 $U(h) \approx \pi R \left( \frac{B}{\kappa^2} e^{-\kappa h} - \frac{A_{\rm H}}{12\pi h} \right)$ 

The secondary minimum could cause coagulation only for big (1 µm) particles. The primary minimum is the reason for coagulation in most cases.

Condition for coagulation:  $U_{max} = 0$ 

(zero height of the barrier to coagulation)

$$U(h_{\text{max}}) = 0;$$
  $\frac{\mathrm{d}U}{\mathrm{d}h}\Big|_{h=h_{\text{max}}} = 0$ 

## The Critical Coagulation Concentration (ccc) [5,6]



# **Non-DLVO Surface Forces: Hydration Repulsion**



At  $C_{el} < 10^{-4}$  M (NaCl, KNO<sub>3</sub>, KCl, etc.), a typical DLVO maximum is observed. At  $C_{el} \ge 10^{-3}$  M, a strong short-range repulsion is detected by the surface force apparatus – the hydration repulsion [1, 27].

Empirical expression [1] for the interaction free energy per unit area:

$$f_{\rm hydr} = f_0 \exp(-h/\lambda_0)$$

$$\lambda_0 = 0.6 - 1.1 \text{ nm}$$
  
 $f_0 = 3 - 30 \text{ mJ/m}^2$ 

<u>Important</u>:  $f_{el}$  decreases, whereas  $f_{hydr}$  increases with the rise of electrolyte concentration!

**Explanation**: The hydration repulsion is due mostly to the finite size of the hydrated counterions [28].

# **Steric interaction due to adsorbed polymer chains**



$$\Pi_{\rm st}(h) = kT\Gamma^{3/2} \left[ \left( \frac{2L_{\rm g}}{h} \right)^{9/4} - \left( \frac{h}{2L_{\rm g}} \right)^{3/4} \right]$$
  
for  $h < 2L_{\rm g}$ ;  $L_{\rm g} = N \left( \Gamma l^5 \right)^{1/3}$ 

 $L \approx L_0 \equiv l N^{1/2}$  (ideal solvent)

l – the length of a segment;

*N* – number of segments in a chain; In a good solvent  $L > L_0$ , whereas in a poor solvent  $L < L_0$ .

L depends on adsorption of chains,  $\Gamma$  [1,21].

← Alexander – de Gennes theory

for the case of good solvent [29,30]:

The positive and the negative terms in the brackets in the above expression correspond to osmotic repulsion and elastic attraction.

The validity of the Alexander – de Gennes theory was experimentally confirmed; see e.g. Ref. [1].



# **Steric interaction – poor solvent**

Plot of experimental data for measured forces,  $F/R \equiv 2\pi f \text{ vs. } h$ , between two surfaces covered by adsorption monolayers of the nonionic surfactant  $C_{12}E_5$  for various temperatures.

The appearance of minima in the curves indicate that the water becomes a poor solvent for the polyoxyethylene chains with the increase of temperature; from Claesson et al. [31].

# **Oscillatory–Structural Surface Force**



For details – see the book by Israelachvili [1]

A planar phase boundary (wall) induces ordering in the adjacent layer of a hard-sphere fluid.

The overlap of the ordered zones near two walls enhances the ordering in the gap between the two walls and gives rise to the oscillatory-structural force. Oscillatory structural forces were observed in liquid films containing colloidal particles, e.g. latex & surfactant micelles; Nikolov et al. [32,33].





The metastable states of the film correspond to the intersection points of the oscillatory curve with the horizontal line  $\Pi = P_c$ .

The stable branches of the oscillatory curve are those with  $\partial \Pi / \partial h < 0$ .

#### **Oscillatory–Structural Surface Force Due to Solvent Molecules**



Measured short-range force between two cylindrical mica surfaces of radii R = 1 cm in 10<sup>-3</sup> M KCI solution.

The dashed line shows the expected **DLVO** interaction.

The oscillatory force has a period of 0.22 – 0.26 nm, about the diameter of the water molecule (oscillatory hydration force)

Theoretical computations are shown in the inset.

(Experimental results from

Israelachvili and Pashley, 1983 [34].

#### **Oscillatory–Structural Surface Force Due to Colloid Particles**





Ordering of <u>micelles</u> of the nonionic surfactant Tween 20 [36]. <u>Methods</u>: Mysels' porous plate cell and Scheludko capillary cell [7,8]

Theoretical curve – by means of the Trokhimchuk formulas [35]. The micelle aggregation number,  $N_{agg}$  = 70, is determined [36].

# Foam Film Stratification by the Scheludko Capillary Cell



Stepwise thickness transitions: 0.1 M Brij 35 + 0.1 M NaCl











#### **Decaying Oscillations**

Semiempirical expression [37]:

$$\Pi_{ocs} = P_{hs} \cos\left(\frac{2\pi h}{d_1}\right) \exp\left(\frac{d^3}{d_1^2 d_2} - \frac{h}{d_2}\right), \text{ for } h > d$$

$$d - \text{particle diameter; (hard spheres)}$$

$$\Pi_{ocs} = -P_{hs}, \text{ for } 0 < h < d$$

$$d_1 - \text{period; } d_2 - \text{decay length:}$$

$$\frac{d_1}{d} = \sqrt{\frac{2}{3}} + 0237 \Delta \phi$$

$$\frac{d_2}{d} = \frac{0.487}{\Delta \phi} - 0.420$$

$$\phi - \text{particle volume fraction, } \Delta \phi = 0.74 - \phi$$

$$d - \text{particle volume fraction, } \Delta \phi = 0.74 - \phi$$

The above expression for  $\Pi_{osc}(h)$  works well at all distances, except in the region of the first (the highest) maximum. For all *h*, use the Trokhimchuk formulas [35]. ( $P_{hs}$  – osmotic pressure of a hard-sphere fluid: Carnahan–Starling formula)

#### **Depletion Attraction**



At low particle volume fractions, the oscillations disappear and only the first "depletion" minimum remains. The oscillatory force degenerates into the "depletion attraction". It leads to coagulation in colloidal dispersions by smaller particles, e.g. polymer coils [38].



The depletion attraction is due to the osmotic pressure of the smaller particles, which cannot penetrate in a certain "depletion zone" between the two bigger particles. Depth of the depletion minimum ( $0 \le h_0 \le d$ ) [1]:

$$U_{dep}(h_0)/kT \approx -\frac{3}{2}\phi \frac{D}{d^3}(d-h_0)^2$$
  
D/d = 50;  $\phi = 0.10$ ;  $U_{dep}(0) = 7.5kT$ 

The total energy of interaction between two particles , *U*(*h*), includes contributions from all surface forces:



# $U(h) = U_{vw}(h) + U_{el}(h) + U_{hydr}(h) + U_{st}(h) + U_{osc}(h) + \dots$ DLVO forces Non-DLVO forces

(The depletion force is included in the expression for the oscillatory-structural force, U<sub>osc</sub>)

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